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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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09/305,234

05/04/1999

GEORGE V. GUYAN

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1833

28164 7590 08/01/2008
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EXAMINER

AL HASHEMI, SANA A

ART UNIT

PAPER NUMBER

2164

MAIL DATE

DELIVERY MODE

08/01/2008

PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary	Application No.		Applicant(s)	
	09/305,234		GUYAN ET AL.	
	Examiner		Art Unit	
	Sana Al-Hashemi		2164	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 03 July 2008.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-10, 13-21, 35 and 37-40 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-10, 13-21, 35 and 37-40 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date <u>2/5/08</u> . | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

This action is issued in response to amendment/RCE filed 7/3/08

Claims 1-10, 13-21, 33, 35, 37-40 are pending. Claims 11-12, 14-20, 22-32, 34, 36, were canceled. No claims were added.

Continued Examination Under 37 CFR 1.114

A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after allowance or after an Office action under *Ex Parte Quayle*, 25 USPQ 74, 453 O.G. 213 (Comm'r Pat. 1935). Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, prosecution in this application has been reopened pursuant to 37 CFR 1.114. Applicant's submission filed on 7/3/08 has been entered.

Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 1-10, 13-21, 33, 35, 37-40 are rejected under 35 USC 102(b) as being anticipated by Ryan et al. (Ryan hereinafter) US Patent No. 5,655,085 issued Aug. 5th, 1997.

Regarding Claims 1, 8, and 15, Ryan discloses a computer program embodied on a computer readable medium for handling tasks associated with the processing of an insurance related claim, comprising:

a data component that stores, retrieves and manipulates data utilizing a plurality of functions (Fig. 1, step 4, wherein the terminal contain all data component claimed, Ryan); and

a client component having a user interface for processing said insurance related claims and including:

an adapter component that transmits and receives data to/from the data component (Fig. 1, step 6, Ryan), a business component that serves as a data cache and includes logic for manipulating the data (Fig. 1 step 4, Ryan), and

a controller component adapted to handle events generated by a user utilizing the business component to cache data and the adapter component to ultimately persist data to a data repository, wherein the client component is adapted for allowing a user to define tasks during the execution phase of the program that processes the tasks and rules by way of the user interface of the client component to be carried out by an employee that achieve a goal upon completion, allowing the user to define the rules during the execution phase of the program that processes the tasks and the rules by way of the user interface of the client component, wherein said rules dictate which of the tasks should be selected based on predetermined events defined in said rules, receiving at least one event, automatically generating a task based on the received event in accordance with the rules and outputting the task (Fig. 3 B-2, Ryan)

Regarding Claims 2, 9, and 16 Ryan discloses a computer program wherein the client component is further adapted for indicating which tasks are complete (Fig. 2, Ryan).

Regarding Claims 3, 10, and 17, Ryan discloses a computer program wherein an event is generated by data entered by a user and posted to an event queue, then said task is automatically generated based on the event received from the event queue (Fig. 3 b-2, Ryan).

Regarding Claims 4, and 18, Ryan discloses a computer program wherein the event queue is populated with events from the data components of a system (Fig. 3B-3, step 122, Ryan).

Regarding Claims 5, 38, and 39, Ryan discloses a computer program further comprising a server component adapted to determine claim characteristics and match the claim characteristics to defined tasks (Fig. 3B-3, 128, Ryan).

Regarding Claims 6, 13, and 20, Ryan discloses a computer program wherein the goal is insurance related (Fig. 3B-1 step 88, Ryan).

Regarding Claims 7, 14, 21, Ryan discloses a computer program wherein the outputted tasks are provided for display on a list prior to completion of the outputted tasks (Fig. 3C-1, 258, Ryan).

Regarding Claims 33, 35, and 37, Ryan discloses a computer program wherein completion of a task is a predetermined event (Fig. 3B-1, step 82, Ryan).

Regarding Claim 40 Ryan discloses a computer program wherein said claim characteristic includes a line of business associated with the claim wherein the lines of business comprise automobiles, yachts and property insurance (fig. 27A, Ryan).

Point of Contact

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Sana Al-Hashemi whose telephone number is 571-272-4013. The examiner can normally be reached on 8Am-4:30Pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Charles Rones can be reached on 571-272-4085. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Sana Al-Hashemi/
Primary Examiner, Art Unit 2164